



Sinhgad Institutes

Sinhgad Technical Education Society's

**RASIKLAL M. DHARIWAL SINHGAD TECHNICAL
INSTITUTES CAMPUS**

RMD Sinhgad School of Management Studies, Warje

Pune

Event Name: Finance workshop on Security Market

Date: 24/03/2022

Academic Year: 2021-22

**SINHGAD TECHNICAL EDUCATION SOCIETY'S
RMD SINHGAD TECHNICAL INSTITUTES CAMPUS
RMD SINHGAD SCHOOL OF MANAGEMENT STUDIES, WARJE -PUNE**

Date: 21/03/2022

To
The Director,
RMDSTIC, Warje
Pune -58

Subject: Permission regarding arranging Finance workshop on Security Market.

Respected Sir,

We RMD Sinhgad School of Management Studies (RMDSSOMS), are planning to organize "Finance workshop on Security Market" on 24/03/2022.

I kindly request to permit us of the same.

Thanking You,

Yours Faithfully,

NSS
Prof. Neeta Shinde and Prof. Reetuja Deshpande
Faculty Co-ordinator


Dr. V. V. Dixit
Director, RMDSTIC





Director / Principal
RMD Sinhgad Technical Institutes Camus
Warje, Pune - 411 058.


**SINHGAD TECHNICAL EDUCATION SOCIETY'S
RMD SINHGAD TECHNICAL INSTITUTES CAMPUS
RMD SINHGAD SCHOOL OF MANAGEMENT STUDIES, WARJE- PUNE**

Date: 22/03/2022

Notice

All the students of MBA I & II Year are hereby informed that session is arranged on “Finance workshop on Security Market” on 24/03/2022. Kindly be the part of this session.


Prof. Neeta Shinde and Prof. Reetaja Deshpande
Faculty Co-ordinator


Dr. Swati Vijay
Dean, RMDSSOMS

DEAN
RMD SINHGAD SCHOOL OF MANAGEMENT STUDIES
WARJE, PUNE 411 058




Director / Principal
RMD Sinhgad Technical Institutes Camus
Warje, Pune - 411 058.

RMD Sinhgad School of Management Studies, Warje

Academic Year 2021-22

Date:24/03/2022

Name of Activity:Workshop

Title:Finance Workshop on Securities Market

No. of students attended:75

Name of Speaker:Mr. Rohit Warman

Photos:



Finance Workshop on Securities Market -24/03/2022

Outcomes:

Finance Workshop on Securities Market helped students to understand the securities market and its products and services. This session also focused on career opportunities in the security market.

Certificate of Empanelment as NISM Resource Person For Kotak Securities
Financial Education Program - "Kona Kona Shiksha"

Dean, NISM

Tel No: 02192 668348

Email: vr.narasimhan@nism.ac.in

N/WE/MAHA/85

17-Jul-2021

Rohit Warman

A201, Vivanta Life Veronika, PK Chowk, Pimple Saudagar

PUNE

411027

Maharashtra



Madam / Sir,

1. Please refer to our interactions with respect to enrolling you as Resource Person (RP) for NISM – Kotak Securities CSR ("Kona Kona Shiksha") project. This was followed by your training during June 2021.
2. We are pleased to certify you as NISM's RP for conducting Investor Financial Education session at various colleges. You are called upon to spread Financial education by conducting Financial Education Programs of 10 hours duration for the benefit of for students who are pursuing final year of graduation and post graduate courses as per the Operational Guidelines of NISM as amended from time to time.
3. Accordingly, this Kotak Securities CSR project executed by NISM on Financial Education for young citizen endeavors to impart participants with a better understanding of securities market. The program will also make them aware about the career opportunities available in Securities Markets. The **Kona Kona Shiksha** Program would cover the following topics (amongst others):
 - i. Importance of Investments
 - ii. Introduction to Securities Markets;
 - iii. Primary Markets and Secondary Markets;


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- iv. Mutual Funds;
 - v. Precautions for Investing;
 - vi. Investor Protection and Grievances Redressal Mechanism;
 - vii. Career opportunities in securities markets
 - viii. Any other topic as approved by NISM.
4. In line with the guidelines, you are requested to conduct Financial Education Programs at the colleges of your respective cities. NISM may also instruct to conduct similar program at the college identified by NISM. You may organize and conduct this 10 hours at a mutually convenient date and time as decided between you and respective college authorities, and intimate NISM at least 30 days in advance. The training program shall be interactive in nature and would be for duration of about 10 hours. NISM's educational material shall be distributed free of cost to the participants. As a NISM empanelled Resource Person, you shall not charge any honorarium for any program conducted under this initiative, from the participants or from the college authorities.
 5. As an empanelled RP, you will abide by the NISM Guidelines and terms and conditions associated with this program as amended from time to time.
 6. It is informed that your name as NISM empanelled Resource Person has been made available on NISM Website. <https://www.nism.ac.in>
 7. For any further information related to empanelment, please contact **Shri Kuldeep Thareja, Email- kuldeep.thareja@nism.ac.in..**
For post program queries, please contact **Shri Aamir Sayyad, Email- aamir.sayyad@nism.ac.in.**
We look forward to your kind co-operation in this regard.
 8. This certificate is valid from **17-Jul-2021**, initially for a period of one year i.e upto **17-Jul-2022**.

Yours sincerely,



Dr. V.R. Narasimhan
Dean, NISM

Encl: Terms and Conditions of RPs for NISM – Kotak Securities CSR project.



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Terms and Conditions of RPs for NISM – Kotak Securities CSR project.

1. The RP shall uphold NISM's Vision to impart unbiased quality financial education to all the participants of the program.
2. The RP shall, at all times, be fair, respectful and courteous to trainees, fellow RPs, NISM and College volunteers and employees.
3. The RP assumes responsibility and accountability for their individual judgments and actions.
4. The RP shall demonstrate respect of trainee/s in the way he/ she discusses the trainees' financial circumstances, needs, and difficulties.
5. RP shall not make either through words/ gestures any derogatory or defamatory remarks against any individual or organization.
6. The RP shall by no means indulge in selling or promoting of any specific investment instrument and would be completely objective and impartial in their approach while training.
7. The RP shall not make any personal monetary profit by way of publishing/selling/distributing the training material/literature/presentations, other than providing the same during the workshops in manner prescribed by NISM. All materials pertaining to the workshop are copyright of SEBI / NISM, are to be used only for the purpose of NISM workshops and shall not be used elsewhere without prior written permission.
8. The RP agrees to teach NISM approved curriculum. This includes adhering to all approved prerequisites, essential core information, and required hours of instruction and any changes made by NISM thereto from time to time.
9. The RP agrees to use and provide to trainees training materials that are current, approved by NISM as to meeting NISM standards for a quality presentation.
10. The RP shall maintain competence in the field of financial education by actively participating in any training workshops held or approved by NISM (as required) and through passing of any required certification examination approved by NISM.
11. The RP agrees to conduct a minimum of 6 and maximum 12 workshops for a stipulated minimum number of participants in a calendar year as further specified in the contract. If a team of Trainers conduct the program, number of programs to be conducted can be at the rate of 12 to 24 per trainer in the team. Final number of programs to be conducted by each RP or team of RPs will be approved by NISM.
12. **Batch size for each Program shall not be less than 50 (for both Physical as well as online program) and not more than 150 in case of physical classes and not more than 100 in case of online sessions.**
13. The RP also agreed to adhere the following responsibilities for the successful conduct of the programs at Various Colleges:
 - I. The program should be conducted only for students who are pursuing final year of graduation and post graduate courses of any stream. NISM reserves the right to make changes in it.
 - II. RP will have to contact colleges and obtain necessary permissions to conduct the program at that college. RP may obtain permission to conduct the sessions in writing (email) and shall be shared with NISM. NISM may counter check if the college has given the permission.
 - III. RP will inform NISM not less than 30 days in advance about the colleges at which the programs will be conducted, format in which it would be conducted (2 hours 5 days or 5 hours 2 days), dates on which the program to be conducted, name and contact details of the principal of the college, anchor faculty of the college (who coordinates the program from college side), student representative (who coordinates for all the students) and website of the college if any. This information includes their Name, Designation, Mobile number, and email address. The RP will upload this information at NISM portal.


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Dean RMDSSOMS <dean.rmdssoms@sinhgad.edu>

SEBI NISM education program

1 message

Rohit Warman <rohitwarman@gmail.com>

8 February 2022 at 12:29

To: dean.rmdssoms@sinhgad.edu, neeta.shinde.rmdssoms@sinhgad.edu

Respected Madam,

Greetings of the Day

It was a good meeting to you on January 31, 2022 and I appreciate your honesty and humbleness, and thank you for admiring the SEBI initiative

There are two education program

- 1) SEBI - Nationwide Quiz Competition
- 2) NISM and Kotak Securities Ltd - Kona Kona Shiksha for young citizens

Here are the nitty gritty

1) SEBI - Nationwide Quiz Competition

- i) Firstly, College will register. For registration, college information required are College Name, College location Pin code, College registration number and Email id for correspondence. Pl mail me these information for registration
- ii) Secondly, once college is approved then you have to upload bulk student data on the quiz portal. I shall provide the excel(csv) format and link for upload or we can connect online and execute the same.

Kindly refer the attached pdf

2) NISM and Kotak Securities Ltd - Kona Kona Shiksha for young citizens

Objective: Financial Education for young citizens endeavors to impart participants with a better understanding of the securities and capital market. The program will also make them aware about the **career opportunities** available in Securities Markets

Benefits: This educational program will give insights of operational aspects of the capital market and will be helpful for Marketing, Operations, IT infrastructure and programmer, Finance, HR & Administration, System Design, Researcher.

Eligible: Students who are pursuing final year of graduation and post graduate courses and all specialization of B.B.A, M.Com, MA (Economics), MBA, MCA, BE and LLB/LLM

Duration: 10 hours

Mode: live online classes, it can be Google Meet, MS Team, Zoom or Webex

Certificate: Certificate will be issued to participants on passing online Assessment Quiz

Fees: This is free and hence no cost to college and student

Minimum student in a batch is 50 and maximum 100. For high strength, separate batches have to be created. The lead time to start **Kona Kona Shiksha for young citizens** is 4-5 days as all details have to be feed on cloud application

Further, the preliminary information of your institute is required for getting permission and creating account on cloud application of NISM, which are as follows

- 1) College name
- 2) Anchor and Coordinating faculty name, email id and mobile number (one faculty can act as both anchor and coordinating faculty)
- 3) Expected number of participants
- 4) expected start date of program (to be completed in 10hours)

Refer attached screenshot

Kindly refer to attached files for registration, prizes and credentials.

Looking forward to a healthy and productive association with your esteemed Institution

Thank you

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Regards,

Rohit Warman CWM® CFP™

Contact: +91-9860201738 || rohitwarman@gmail.com

Pl acknowledge the receipt of this email by return email.

5 attachments

Quiz by SEBI registration.png
20K



Institute details.JPG
132K

Rohit Warman - Quiz appointment letter.pdf
206K

SEBI Quiz Competition.pdf
187K

Rohit Warman - appointment letter.pdf
80K




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Nation-wide Financial Markets Quiz Contest

The Nation-wide Financial Markets Quiz & Essay writing contest is a step towards assessing and improving the financial literacy of the country. This is a unique National level test, conducted by Securities and Exchange Board of India (SEBI), under the auspices of Azadi ka Amrit Mahotsav. Through these contest SEBI aims to make citizens aware of financial markets

What's in it for participants?

NO PARTICIPATION FEE

- Top 3 National winning teams will get 1 lakh, 80k and 60k each.
- All India top 100 students will get cash rewards and a chance to visit Mumbai and attend a grand ceremony at our cost.
- Total Prize Pool of 35 Lakhs
- Participation certificates for all the participants.
- Principals of Top 50 colleges can accompany participating students and win rewards along with shield.
- Top 5 colleges with highest participation will win cash prize of 25000 each and their principals will be invited as a guest in the final ceremony to be held in Mumbai.

Round I – Online

Round II & III – Regional and National Round, to be held in Mumbai

Quiz shall be conducted in three phases –

i) College level ii) Regional level and iii) National level.
College level Quiz shall be online without any proctoring, whereas Regional and National level shall be conducted in physical environment.

(A) Round 1 - Screening Round (College Level)

College students will participate individually and their individual scores will be recorded.

After the first round of online test, NISM shall compile the scores of all the appeared candidates for the Quiz, and rank colleges based on number of students participated and their average score. Colleges shall be placed according to the above formula and top 10 colleges from each zone (South, East, West, North and Central) shall advance to next level
(Colleges shall have discretion to nominate any two students from their colleges)

(B) Round 2 – Regional Round

50 college teams from all the regions will be invited to the NISM campus for the regional round. Top 15 teams from this round will advance to the National round.

(C) Round 3 – Final Round – National Round

Top 15 college teams would be called for the National round competition comprising of advance test and/ or quiz and/ or presentation or combinations thereof and from the above. Top 3 National winners (pair of 1+1) would be declared

Eligibility

The quiz is open for all the candidates who have completed their education at least upto (10+2)/ Higher secondary level and are currently enrolled in any college/University/Institution which are operating from India and studying any course. Only recognized College, University and Institutions


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Date: 21/03/2022

To,
Mr. Rohit Warman

Sub: Invitation as Session Speaker for "Finance workshop on securities Market " at RMD SSOMS, Warje, Pune.

Dear Madam,

RMD Sinhgad School of Management Studies is organizing **Finance workshop on Securities Market** For MBA students. We cordially invite you as a Session Speaker for One day Finance Workshop to share your knowledge and experience with the students. Your session is scheduled on 24th March 2022 from 10:00am to 01:00 pm.

It will be an honor for us to have you for the session.

Thanking you,

Yours Sincerely,


Prof. Neeta Shinde
Coordinator




Dr. Swati Vijay
Dean, MBA


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